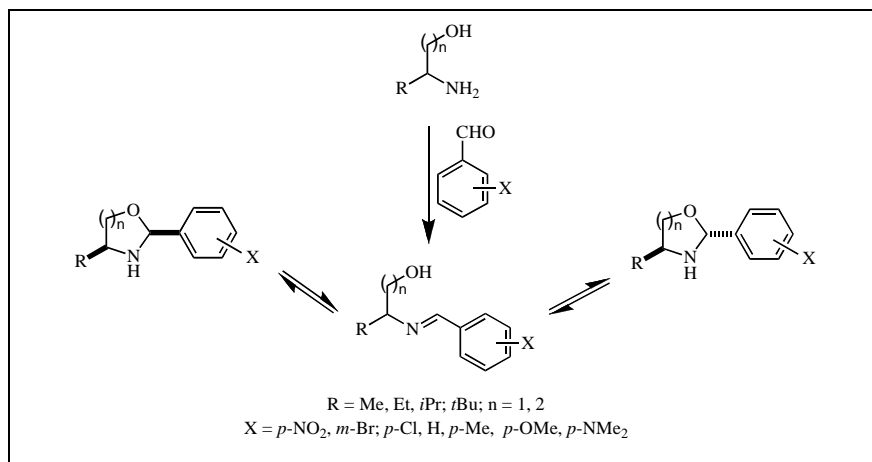


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The condensation products of 2-aminoethanol or 3-aminopropanol (bearing an alkyl substituent on the carbon adjacent to the nitrogen) with substituted benzaldehydes proved to exist in  $\text{CDCl}_3$  at 300 K as three-component tautomeric mixtures of the diastereomeric five- or six-membered 1,3-*O,N*-heterocyclic ring forms and the corresponding imines. For each equilibrium, the electronic effects of the 2-aryl substituents were characterized by the Hammett equation. The steric effects of the alkyl groups could be described by Hansch-type equations for the equilibria involving oxazolidine ring forms. While the alkyl substituents did not cause any significant effect on the ring *cis*-chain and the ring *trans*-chain equilibria for tetrahydro-1,3-oxazines, increasing bulk of the 4-alkyl group increased the stability of the cyclic tautomers for the analogous oxazolidines.

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## INTRODUCTION

The reversible intramolecular addition of a hydroxy group to a  $\text{C}=\text{N}$  double bond to form a cyclic structure is a well-known phenomenon among *N*-unsubstituted 1,3-*O,N*-heterocycles. This ring-chain tautomeric process influences the reactivity and therefore the synthetic applicability of these compounds [1]. The reduction of ring-chain tautomeric 1,3-*O,N*-heterocycles is a method that has often been applied for the preparation of *N*-substituted 1,2- and 1,3-amino alcohols [2]. Reformatsky and Mannich reactions with the participation of the  $\text{C}=\text{N}$  bond of the open tautomeric form of chiral, non-racemic oxazolidines provided a stereoselective procedure for the synthesis of  $\beta$ -amino esters [3]. Because of their ring-chain tautomeric character, oxazolidines and tetrahydro-1,3-oxazines have been applied as aldehyde sources or aldehyde protecting groups in various inter- or intramolecular carbon-transfer reactions [4,5]. The differences in the reactivities of the ring-chain tautomeric forms of 1,3-*O,N*-heterocycles have been utilized in the diastereoselective transformations of chiral, non-racemic amino alcohols towards bicyclic lactams or 1,2,4-trisubstituted oxazolidines [6,7].

Because of the practical importance of this phenomenon, the substituent effects influencing the ring-chain tautomeric processes of 1,3-*O,N*-heterocycles have been studied thoroughly in the past 20 years. Such studies have been extended from simple, two-component equilibria to multicomponent, complicated mixtures and from the liquid to the gas and the solid phases [1,8]. It was recently demonstrated that 2-aryldihydro-3,1-benzoxazines exhibit ring-chain tautomerism under unusual conditions, inside a self-assembled capsule [9].

For the tautomeric equilibria of oxazolidines and tetrahydro-1,3-oxazines bearing a substituted phenyl group at position 2, in both the liquid and the gas phase, a linear Hammett-type correlation was found between the  $\log K$  ( $K = [\text{ring}]/[\text{chain}]$ ) values of the equilibria and the electronic character ( $\sigma^+$ ) of the substituents X on the 2-phenyl group (Eq. 1) [1]:

$$\log K = \rho\sigma^+ + \log K_{X=H} \quad (\text{Eq. 1})$$

In contrast with the great number of studies on the aromatic substituent dependence of the tautomeric equilibria caused by a substituted phenyl group at position 2 of 1,3-*O,N*-heterocycles, only a few examples are known of the

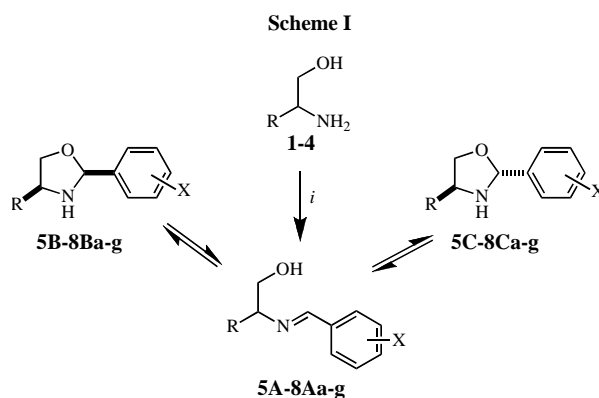
steric and/or electronic effects of other substituents in 2-aryl-1,3-*O,N*-heterocyclic systems [10-12]. Anomeric effects of the aryl and alkyl substituents proved to exert a significant influence on the tautomeric ratios of 1,3-diarylnaphth[1,2-*e*]oxazines, 2,4-diarylnaphth[2,1-*e*]oxazines and 1-alkyl-3-arylnaphth[1,2-*e*]oxazines, the equilibria of which could be characterized by Hansch-type equations [11,12].

As a continuation of our previous quantitative studies on the double substituent effects in the ring-chain tautomerism of five- and six-membered 1,3-*O,N*-heterocycles [10-12], our present aim was to investigate the tautomeric character of 4-alkyl-2-aryl-substituted oxazolidines and the corresponding 3,4,5,6-tetrahydro-2*H*-1,3-oxazines. We set out to study the scope and limitations of the application of Hansch-type equations for the tautomeric equilibria of 1,3-*O,N*-heterocycles.

## RESULTS AND DISCUSSION

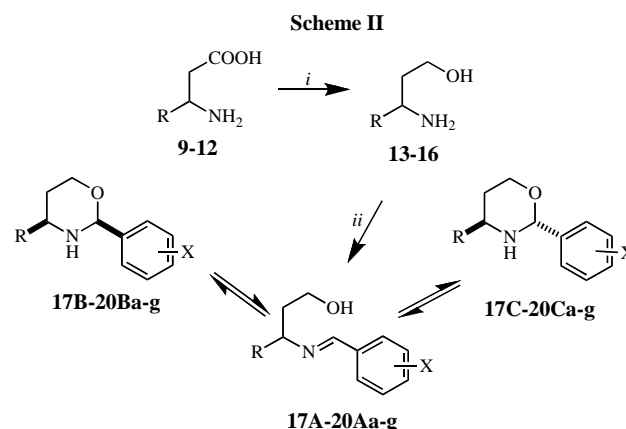
The condensations of 2-aminoethanols bearing homologous alkyl substituents with increasing bulk (Me, Et, *i*Pr or *t*Bu) at position 2 (**1–4**) with equivalent amounts of aromatic aldehydes resulted in model oxazolidine compounds **5–8** (Scheme I). The similar reactions of 3-aminopropanols analogously substituted at position 3 (**13–16**), obtained by LiAlH<sub>4</sub> reduction of the corresponding β-substituted β-amino acids **9–12** [13], led to the tetrahydro-1,3-oxazines **17–20** (Scheme II). The <sup>1</sup>H nmr spectra of **5–8** and **17–20** revealed that, in CDCl<sub>3</sub> solution at 300 K, each compound participated in three-component ring-chain tautomeric equilibria involving C-2 epimeric cyclic forms (**B** and **C**) besides the open tautomer (**A**).

The proportions of the chain (**A**) and diastereomeric ring forms (**B** and **C**) of the tautomeric equilibria of **5–8** and **17–20** were determined by integration of the well-separated O-CHAr-N (ring) and N=CHAr (chain) proton singlets in the <sup>1</sup>H nmr spectra (Tables 1 and 2). In consequence of the very similar nmr spectroscopic characteristics of the 2,4-disubstituted oxazolidines **5–8** and tetrahydro-1,3-oxazines **17–20**, determination of the relative configurations of the *major* and *minor* ring-closed tautomers was performed only for the 2-(*p*-nitrophenyl)-



**1, 5:** R = Me; **2, 6:** R = Et; **3, 7:** R = *i*Pr; **4, 8:** R = *t*Bu  
**a:** X = *p*-NO<sub>2</sub>; **b:** *m*-Br; **c:** *p*-Cl; **d:** H; **e:** *p*-Me; **f:** *p*-OMe; **g:** *p*-NMe<sub>2</sub>  
 Reagents and conditions: (i) XC<sub>6</sub>H<sub>4</sub>CHO, MeOH, r.t., 1 h, 65-100%

substituted derivatives (**5a–8a**; **17a–20a**), the NOESY spectra of which unequivocally showed that the *major* ring forms in the tautomeric equilibria of **5–8** and **17–20** contain H-2 and H-4 in the *cis* position (**B**). 4-Alkyl and 2-aryl substituents did not change the sequence of the chemical shifts of the characteristic O-CHAr-N and N=CHAr protons (see Experimental).



**9, 13, 17:** R = Me; **10, 14, 18:** R = Et; **11, 15, 19:** R = *i*Pr; **12, 16, 20:** R = *t*Bu  
**a:** X = *p*-NO<sub>2</sub>; **b:** *m*-Br; **c:** *p*-Cl; **d:** H; **e:** *p*-Me; **f:** *p*-OMe; **g:** *p*-NMe<sub>2</sub>  
 Reagents and conditions: (i) LiAlH<sub>4</sub>, THF, reflux, 8 h, 68-81%;  
 (ii) XC<sub>6</sub>H<sub>4</sub>CHO, MeOH, r.t., 1 h, 61-100%

**Table 1**

Proportions (%) of the ring-closed tautomeric forms (**B** and **C**) in tautomeric equilibria for compounds **5–8** (CDCl<sub>3</sub>, 300 K).

Compd.	X	R(V <sup>n</sup> ) σ <sup>+</sup>	<b>5</b>		<b>6</b>		<b>7</b>		<b>8</b>	
			Me (2.84)		Et (4.31)		<i>i</i> Pr (5.74)		<i>t</i> Bu (7.16)	
			<b>B</b>	<b>C</b>	<b>B</b>	<b>C</b>	<b>B</b>	<b>C</b>	<b>B</b>	<b>C</b>
<b>a</b>	<i>p</i> -NO <sub>2</sub>	0.79	19.9	18.5	21.7	20.4	32.9	26.8	39.5	35.7
<b>b</b>	<i>m</i> -Br	0.405	15.1	11.1	16.9	12.5	31.0	19.7	39.1	26.4
<b>c</b>	<i>p</i> -Cl	0.114	11.7	7.9	13.4	9.3	26.8	15.2	36.1	23.0
<b>d</b>	H	0	10.3	6.1	12.1	8.1	25.6	14.8	33.5	22.6
<b>e</b>	<i>p</i> -Me	-0.311	8.2	4.7	9.2	5.4	10.4	20.6	29.1	17.6
<b>f</b>	<i>p</i> -OMe	-0.778	4.7	2.8	5.3	3.2	14.1	7.4	22.6	13.5
<b>g</b>	<i>p</i> -NMe <sub>2</sub>	-1.7	2.3	0.8	2.3	1.3	7.5	2.1	10.0	5.1

When Eq. 1 was applied to the  $\log K_B$  and  $\log K_C$  values ( $K_B = [B]/[A]$ ,  $K_C = [C]/[A]$ ) of **5–8** and **17–20**, good linear correlations were obtained vs the Hammett-Brown parameter  $\sigma^+$  of the substituent X on the 2-phenyl group, for both the  $B \rightleftharpoons A$  and the  $C \rightleftharpoons A$  equilibria (Figure 1 and Table 3). As usual for 2-aryl-1,3-*O,N*-heterocycles, the

aryloxazolidine [15] (**21**:  $\log K_0 = -1.10$ ) or 2-aryltetrahydro-1,3-oxazine [16] (**22**:  $\log K_0 = -0.15$ ):  $c_s = \log K_{X=H} - \log K_0$ . A positive value of  $c_s$  means a more stable ring form relative to the corresponding parent 2-arylperhydro-1,3-*O,N* heterocycle. The different trends observed in the influences of the 4-alkyl substituents in the ring-chain

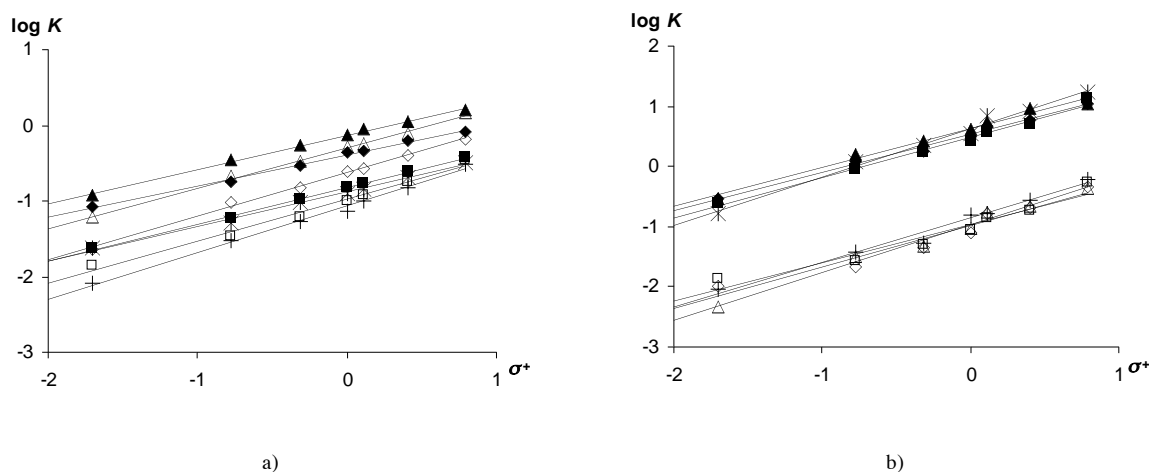
Compd.	X	R(V <sup>a</sup> ) $\sigma^+$	<b>17</b>		<b>18</b>		<b>19</b>		<b>20</b>	
			Me (2.84)		Et (4.31)		<i>i</i> Pr (5.74)		<i>t</i> Bu (7.16)	
			<b>B</b>	<b>C</b>	<b>B</b>	<b>C</b>	<b>B</b>	<b>C</b>	<b>B</b>	<b>C</b>
<b>a</b>	<i>p</i> -NO <sub>2</sub>	0.79	91.5	3.2	89.9	3.6	88.4	3.6	88.4	3.5
<b>b</b>	<i>m</i> -Br	0.405	86.7	2.9	81.0	2.9	83.0	2.9	88.4	2.1
<b>c</b>	<i>p</i> -Cl	0.114	85.4	2.1	76.9	2.9	79.9	2.9	82.1	2.6
<b>d</b>	H	0	75.0	3.4	71.1	2.3	74.6	1.8	79.4	1.8
<b>e</b>	<i>p</i> -Me	-0.311	67.9	1.3	61.4	1.8	63.3	1.5	71.7	1.3
<b>f</b>	<i>p</i> -OMe	-0.778	57.1	1.7	47.1	1.4	53.5	1.0	60.7	1.2
<b>g</b>	<i>p</i> -NMe <sub>2</sub>	-1.7	14.0	0.7	19.4	1.1	22.6	0.8	22.9	0.4

value of  $\rho$  was positive in each case, *i.e.* the electron-withdrawing property of substituent X on the 2-phenyl ring favours the ring-closed tautomer. This behavior stems from the dipolar trend in the electron density induced at the C=N moiety by the electronic character of substituent X [14].

In contrast with the corresponding 1,3-oxazines **17–20**, increasing bulk of the 4-alkyl group proved to enhance the stability of the cyclic tautomers for oxazolidines **5–8**, which can be followed by the substitution effect parameter ( $c_s$ ) introduced earlier to characterize the effects of the substituents at positions 4–6 on the stability of the ring form. Parameter  $c_s$  is calculated as the difference in the intercepts for the given compound (**5–8** or **17–20**) and the parent 2-

equilibria of the homologous 1,3-*O,N*-heterocycles can be explained by the different conformations of the oxazolidine and oxazine rings, which allows different energetic plots of these cyclic tautomeric forms and influences the stereo-electronic effects of alkyl and aryl substituents on the equilibria of oxazolidines **5–8** [12]. Further examinations of the effect of this alkyl substituent are in progress.

The stability differences between the *cis* (**B**) and *trans* (**C**) isomers of the 2,4-disubstituted cyclic forms can be characterized by the differences in their substitution effect parameters ( $c_s$ ), which are considerably smaller ( $\Delta c_s$ : 0.15–0.24) for the epimeric oxazolidines (**B**, **C**) than for the homologous epimeric oxazines ( $\Delta c_s$ : 1.45–1.60).

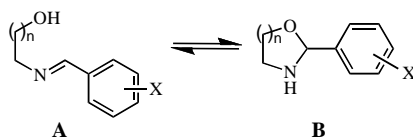


**Figure 1.** (a) Plots of  $\log K_B$  or  $\log K_C$  (CDCl<sub>3</sub>, 300 K) for **5B** (✱), **5C** (+), **6B** (■), **6C** (□), **7B** (◆), **7C** (◇), **8B** (▲), **8C** (△) vs Hammett-Brown parameter  $\sigma^+$ . (b) Plots of  $\log K_B$  or  $\log K_C$  (CDCl<sub>3</sub>, 300 K) for **17B** (✱), **17C** (+), **18B** (■), **18C** (□), **19B** (◆), **19C** (◇), **20B** (▲), **20C** (△) vs Hammett-Brown parameter  $\sigma^+$ .

Table 3

Linear regression analysis data on 4-alkyl-2-aryl-substituted oxazolidines (**5–8**) and tetrahydro-1,3-oxazines (**17–20**) and the parent 4-unsubstituted 2-aryloxazolidines (**21**) and 2-aryltetrahydro-1,3-oxazines (**22**).

Equilibrium	No. of points	Slope <sup>a</sup> ( $\rho$ )	Intercept <sup>a</sup> ( $\log K_{X=H}$ )	Correlation coefficient	$c_s^b$
<b>5A</b> $\rightleftharpoons$ <b>5B</b>	7	0.46 ( $\pm 0.02$ )	-0.88 ( $\pm 0.01$ )	0.993	0.22
<b>5A</b> $\rightleftharpoons$ <b>5C</b>	7	0.62 ( $\pm 0.02$ )	-1.07 ( $\pm 0.02$ )	0.994	0.03
<b>6A</b> $\rightleftharpoons$ <b>6B</b>	7	0.49 ( $\pm 0.01$ )	-0.82 ( $\pm 0.01$ )	0.999	0.28
<b>6A</b> $\rightleftharpoons$ <b>6C</b>	7	0.56 ( $\pm 0.03$ )	-0.97 ( $\pm 0.02$ )	0.993	0.13
<b>7A</b> $\rightleftharpoons$ <b>7B</b>	7	0.41 ( $\pm 0.01$ )	-0.39 ( $\pm 0.01$ )	0.996	0.71
<b>7A</b> $\rightleftharpoons$ <b>7C</b>	7	0.58 ( $\pm 0.02$ )	-0.63 ( $\pm 0.01$ )	0.996	0.47
<b>8A</b> $\rightleftharpoons$ <b>8B</b>	7	0.46 ( $\pm 0.01$ )	-0.13 ( $\pm 0.01$ )	0.996	0.97
<b>8A</b> $\rightleftharpoons$ <b>8C</b>	7	0.54 ( $\pm 0.01$ )	-0.30 ( $\pm 0.01$ )	0.996	0.80
<b>21A</b> $\rightleftharpoons$ <b>21B</b> <sup>c</sup>	7	0.60 ( $\pm 0.04$ )	-1.10 ( $\pm 0.02$ )	0.989	0
<b>17A</b> $\rightleftharpoons$ <b>17B</b>	7	0.80 ( $\pm 0.04$ )	0.62 ( $\pm 0.03$ )	0.994	0.77
<b>17A</b> $\rightleftharpoons$ <b>17C</b>	7	0.73 ( $\pm 0.05$ )	-0.86 ( $\pm 0.04$ )	0.990	-0.71
<b>18A</b> $\rightleftharpoons$ <b>18B</b>	7	0.68 ( $\pm 0.04$ )	0.49 ( $\pm 0.03$ )	0.993	0.64
<b>18A</b> $\rightleftharpoons$ <b>18C</b>	7	0.63 ( $\pm 0.07$ )	-0.96 ( $\pm 0.06$ )	0.968	-0.81
<b>19A</b> $\rightleftharpoons$ <b>19B</b>	7	0.63 ( $\pm 0.02$ )	0.53 ( $\pm 0.02$ )	0.996	0.68
<b>19A</b> $\rightleftharpoons$ <b>19C</b>	7	0.68 ( $\pm 0.08$ )	-0.99 ( $\pm 0.06$ )	0.971	-0.84
<b>20A</b> $\rightleftharpoons$ <b>20B</b>	7	0.65 ( $\pm 0.04$ )	0.63 ( $\pm 0.03$ )	0.992	0.78
<b>20A</b> $\rightleftharpoons$ <b>20C</b>	7	0.80 ( $\pm 0.04$ )	-0.97 ( $\pm 0.03$ )	0.994	-0.82
<b>22A</b> $\rightleftharpoons$ <b>22B</b> <sup>d</sup>	7	0.74 ( $\pm 0.06$ )	-0.15 ( $\pm 0.05$ )	0.984	0



n = 1: **21**, n = 2: **22**

<sup>a</sup> Standard error in parentheses. <sup>b</sup> For the meaning of substitution effect parameter ( $c_s$ ), see the text. <sup>c</sup> Data from ref. [15]. <sup>d</sup> Data from ref. [16].

To study the double substituent dependence of  $\log K_B$  and  $\log K_C$ , multiple regression analysis was performed for equilibria **5–8** and **17–20** according to the Hansch-type equation (Eq. 2), introduced earlier to characterize the ring-chain equilibria of 1-alkyl-3-arylnaphth[1,2-*e*]-oxazines [12], where  $P^R$  is an alkyl substituent parameter and  $\sigma^{+X}$  is the Hammett-Brown parameter of substituent X on the 2-phenyl ring. In order to find the accurate dependence of  $\log K_B$  and  $\log K_C$ , four different alkyl substituent parameters were investigated:  $E_s$  (calculated from the hydrolysis and aminolysis of esters) [17],  $v$  (derived from the van der Waals radii [18],  $V^a$  (the volume of the portion of the substituent that is within 0.3 nm of the reaction centre) [17] and  $G$  (which characterizes the shape by the ratio of the surface area of the substituent

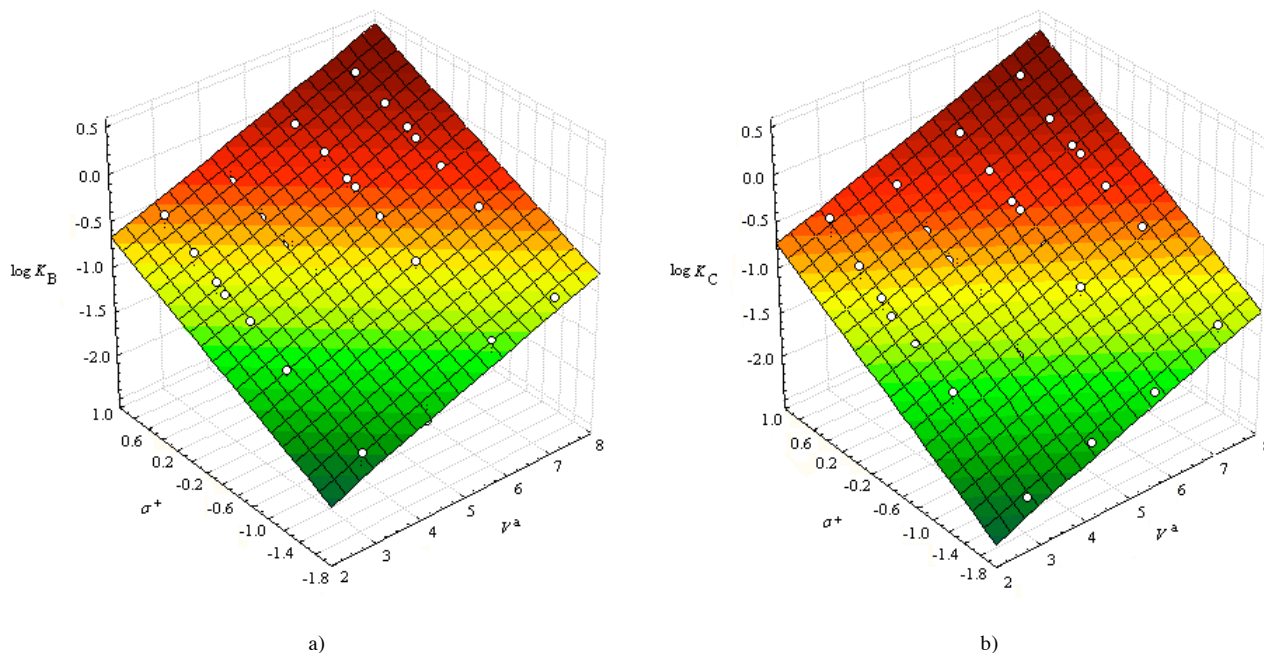
to its volume) [17]. The last three steric parameters are independent of any kinetic data.

$$\log K = k + \rho^R P^R + \rho^X \sigma^{+X} \quad (\text{Eq. 2})$$

Multiple linear regression analysis was performed with the SPSS statistical software, and a value of 0.05 was chosen as the level of significance [19]. For the equilibria of oxazolidines (**5–8**), the best correlations were observed for the Meyer parameter ( $V^a$ ), while for the equilibria of 1,3-oxazines (**17–20**), none of the four alkyl substituent parameters resulted in a significant value of  $\rho^R$  (Table 4). It is interesting to note that, in contrast with the corresponding tautomeric equilibria of 1-alkyl-3-arylnaphth[1,2-*e*][1,3]oxazines [12], the slopes of the alkyl substituent parameters ( $\rho^R$ ) for the

equilibria  $5-8B \rightleftharpoons 5-8A$  and  $5-8C \rightleftharpoons 5-8A$  are practically the same positive values, which means that the increasing proportion of the cyclic forms **B** and **C** correlates with the increasing bulk of the alkyl substituents to nearly the same extent ( $\Delta\rho^R$ : <0.02) for

To refine further the effects of the substituents on the equilibria involving oxazolines ( $5-8B \rightleftharpoons 5-8A$ ,  $5-8C \rightleftharpoons 5-8A$ ) and tetrahydro-1,3-oxazines ( $17-20B \rightleftharpoons 17-20A$ ,  $17-20C \rightleftharpoons 17-20A$ ), another Hansch-type equation (Eq. 3) was applied, including the inductive ( $\sigma_F^X$ ) and



**Figure 2.** (a) Plots of  $\log K_B$  for  $5-8B$  vs Meyer ( $V^a$ ) and Hammett-Brown parameters ( $\sigma^+$ ). (b) Plots of  $\log K_C$  for  $5-8C$  vs Meyer ( $V^a$ ) and Hammett-Brown parameters ( $\sigma^+$ ).

**Table 4**  
Multiple linear regression analysis of  $\log K_B$  and  $\log K_C$  values for  $5-8$  and  $17-20$  according to Eq. 2.

		$k$	$\rho^R$	$\rho^X$	$r$
$P^R = V^a$	$5-8B \rightleftharpoons 5-8A$	-1.500	0.189	0.453	0.982
	$5-8C \rightleftharpoons 5-8A$	-1.681	0.188	0.573	0.989
$P^R = v$	$5-8B \rightleftharpoons 5-8A$	-1.352	1.035	0.453	0.972
	$5-8C \rightleftharpoons 5-8A$	-1.548	1.048	0.573	0.987
$P^R = E_s$	$5-8B \rightleftharpoons 5-8A$	-1.393	-0.476	0.453	0.968
	$5-8C \rightleftharpoons 5-8A$	-1.592	-0.484	0.573	0.985
$P^R = G$	$5-8B \rightleftharpoons 5-8A$	3.145	-0.252	0.453	0.951
	$5-8C \rightleftharpoons 5-8A$	2.942	-0.251	0.573	0.966
$P^R = V^a$	$17-20B \rightleftharpoons 17-20A$	0.510	<sup>a</sup>	0.689	0.984
	$17-20C \rightleftharpoons 17-20A$	-0.801	<sup>a</sup>	0.712	0.978
$P^R = v$	$17-20B \rightleftharpoons 17-20A$	0.469	<sup>a</sup>	0.689	0.986
	$17-20C \rightleftharpoons 17-20A$	-0.851	<sup>a</sup>	0.712	0.977
$P^R = E_s$	$17-20B \rightleftharpoons 17-20A$	0.460	<sup>a</sup>	0.689	0.986
	$17-20C \rightleftharpoons 17-20A$	-0.847	<sup>a</sup>	0.712	0.977
$P^R = G$	$17-20B \rightleftharpoons 17-20A$	0.655	<sup>a</sup>	0.689	0.983
	$17-20C \rightleftharpoons 17-20A$	-1.587	<sup>a</sup>	0.712	0.979

<sup>a</sup> Insignificant (level of significance 0.05)

both equilibria. The similar behaviour of the tautomeric equilibria  $5-8$  can probably be rationalized in terms of the similar hyperconjugative (anomeric) effects occurring in the C-2 epimeric cyclic forms [12b,20].

resonance effects ( $\sigma_R^X$ ) of the aromatic substituents besides the Meyer parameter ( $V^a$ ):

$$\log K = k + \rho^R V^a + \rho_F^X \sigma_F^X + \rho_R^X \sigma_R^X \quad (\text{Eq. 3})$$

The data resulting from the multiple linear regression analysis according to Eq. 3 (Table 5) show that the equilibria of tetrahydro-1,3-oxazines are influenced by both the inductive and resonance effects of the aromatic

analyzer. Merck Kieselgel 60F<sub>254</sub> plates were used for TLC. The <sup>1</sup>H nmr spectra were recorded in CDCl<sub>3</sub> solutions at 300 K on a Bruker AVANCE DRX 400 spectrometer at 400.13 MHz. Chemical shifts are given in δ (ppm) relative to TMS as internal

**Table 5**  
Multiple linear regression analysis<sup>a</sup> of log *K*<sub>B</sub> and log *K*<sub>C</sub> values for **5–8** and **17–20** according to Eq. 3.

	<i>k</i>	$\rho^R$	$\rho_F^X$	$\rho_R^X$	<i>r</i>
<b>5–8B</b> ⇌ <b>5–8A</b>	–1.532	0.189	0.386	1.114	0.974
<b>5–8C</b> ⇌ <b>5–8A</b>	–1.748	0.190	0.567	1.384	0.983
<b>17–20B</b> ⇌ <b>17–20A</b>	0.422	<sup>a</sup>	0.735	1.607	0.966
<b>17–20C</b> ⇌ <b>17–20A</b>	–0.938	<sup>a</sup>	0.930	1.590	0.976

<sup>a</sup> Insignificant (level of significance 0.05). <sup>b</sup> 2-(*m*-Bromophenyl) derivatives (**b**) were omitted from the calculations

substituents to a higher extent than for the analogous equilibria of oxazolidines. While the equilibria involving diastereomeric oxazolidine ring forms exhibited considerable differences in the values of both  $\rho_F^X$  and  $\rho_R^X$ , the values of  $\rho_R^X$  were found to be less sensitive to the relative configuration of the cyclic tautomer for the equilibria involving tetrahydro-1,3-oxazines.

## CONCLUSIONS

Through the condensations of 2-alkyl-2-aminoethanols or 3-alkyl-3-aminopropanols with substituted benzaldehydes, 4-alkyl-2-aryl-substituted oxazolidines and tetrahydro-1,3-oxazines were prepared, which proved to exist in CDCl<sub>3</sub> at 300 K as three-component tautomeric mixtures of the diastereomeric five- or six-membered 1,3-*O,N*-heterocyclic ring forms and the corresponding imines. Electron-withdrawing substituents on the 2-phenyl ring preferred the ring-closed tautomers. Each equilibrium could be characterized by the Hammett equation, the parameters of which indicated that the stability differences between the *cis* and the *trans* cyclic forms are higher for the C-2 epimeric tetrahydro-1,3-oxazines than for the C-2 epimeric oxazolidines. Multiple linear regression analysis of the log *K* values led to the conclusion that not only the electronic effect of the 2-aryl substituent, but also the steric effect of the 4-alkyl substituent, influenced the tautomeric equilibria of the oxazolidines, which could be described by Hansch-type equations. Increasing bulk of the 4-alkyl group proved to enhance the proportion of the cyclic tautomers in the equilibria involving oxazolidines. The inductive and resonance effects of the aryl group influenced the equilibria involving tetrahydro-1,3-oxazines more markedly than those for the oxazolidines.

## EXPERIMENTAL

Melting points were recorded on a Kofler hot-plate microscope apparatus and are uncorrected. Elemental analyses were performed with a Perkin–Elmer 2400 CHNS elemental

standard; multiplicities were recorded as *s* (singlet), *bs* (broad singlet), *d* (doublet), *dd* (double doublet), *ddd* (double double doublet), *dt* (double triplet), *t* (triplet), *q* (quartet) and *m* (multiplet). In the cases of **5–8** and **17–20**, the solutions were left to stand at ambient temperature for 1 day for the equilibria to be established before the <sup>1</sup>H nmr spectra were run.

The (±)-2-amino-1-propanol (**1**), (±)-2-amino-1-butanol (**2**), (±)-2-amino-3-methyl-1-butanol (**3**), (2*S*)-2-amino-3,3-dimethyl-1-butanol (**4**) and (±)-3-aminobutanoic acid (**9**) were purchased from Aldrich. (±)-3-Aminopentanoic acid (**10**), (±)-3-amino-4-methylpentanoic acid (**11**) and (±)-3-amino-4,4-dimethylpentanoic acid (**12**) were prepared according to known procedures [13].

**General Procedure for the Preparation of 3-Substituted 3-amino-1-propanols (13–16).** To a stirred and cooled suspension of LiAlH<sub>4</sub> (4.74 g, 125 mmol) in dry THF (100 mL), the corresponding β-substituted amino acid (**9–12**, 50 mmol) was added in small portions. The mixture was stirred and refluxed for 8 h and then cooled, and the excess of LiAlH<sub>4</sub> was decomposed by the addition of a mixture of water (9.5 mL) and THF (50 mL). The inorganic salts were filtered off and washed with EtOAc (3 × 100 mL). The combined organic filtrate and washings were dried (Na<sub>2</sub>SO<sub>4</sub>) and evaporated under reduced pressure to give an oily product, which was distilled *in vacuo* to yield **13–16** as colourless oils.

**13:** yield: 3.04 g (68%); bp 95–97 °C (21 torr). The <sup>1</sup>H nmr data on the product correspond to the literature [21] data.

**14:** yield: 3.60 g (70%); bp 75–76 °C (4 torr). <sup>1</sup>H nmr: δ 0.92 (t, 3H, CH<sub>3</sub>, J = 7.4 Hz), 1.28–1.55 (m, 3H, CHCH<sub>2</sub>), 1.62–1.70 (m, 1H, CHCH<sub>2</sub>), 2.66 (bs, 3H, NH<sub>2</sub>, OH), 2.77–2.86 (m, 1H, NCH), 3.75–3.86 ppm (m, 2H, OCH<sub>2</sub>). *Anal.* Calcd. for C<sub>5</sub>H<sub>13</sub>NO (103.16): C, 58.21; H, 12.70; N, 13.58. Found: C, 57.95; H, 12.44; N, 13.38.

**15:** yield: 4.77 g (81%); bp 80–81 °C (3 torr). <sup>1</sup>H nmr: δ 0.88 (d, 3H, CH<sub>3</sub>, J = 6.0 Hz), 0.89 (d, 3H, CH<sub>3</sub>, J = 6.0 Hz), 1.42–1.68 (m, 3H, CCH<sub>2</sub>, CCH), 2.50–2.90 (m, 4H, NCH, NH<sub>2</sub>, OH), 3.75–3.87 ppm (m, 2H, OCH<sub>2</sub>). *Anal.* Calcd. for C<sub>6</sub>H<sub>15</sub>NO (117.19): C, 61.49; H, 12.90; N, 11.95. Found: C, 61.20; H, 12.68; N, 12.03.

**16:** yield: 4.71 g (72%); bp 81–83 °C (4 torr). <sup>1</sup>H nmr: δ 0.87 (s, 9H C(CH<sub>3</sub>)<sub>3</sub>), 1.32–1.44 (m, 1H, CCH<sub>2</sub>), 1.65–1.73 (m, 1H, CCH<sub>2</sub>), 2.52 (dd, 1H, NCH, J = 2.1, 11.2 Hz), 2.81 (bs, 3H, NH<sub>2</sub>, OH), 3.75–3.87 ppm (m, 2H, OCH<sub>2</sub>). *Anal.* Calcd. for C<sub>7</sub>H<sub>17</sub>NO (131.22): C, 64.07; H, 13.06; N, 10.67. Found: C, 64.39; H, 12.76; N, 10.47.

**Table 6**  
Physical and nmr spectroscopic data on 4-alkyl-2-aryloxazolidines (**5–8**).

Compd.	Mp (°C)	Yield (%)	Formula	M.W.	δ (ppm)		
					N=CH (s) (A)	N-CH-O (s) (B)	N-CH-O (s) (C)
<b>5a</b>	89-91 <sup>a</sup>	65	C <sub>10</sub> H <sub>12</sub> N <sub>2</sub> O <sub>3</sub>	208.22	8.44	5.54	5.72
<b>5b</b>	oil	~100	C <sub>10</sub> H <sub>12</sub> BrNO	242.12	8.29	5.42	5.58
<b>5c</b>	81-84 <sup>b</sup>	72	C <sub>10</sub> H <sub>12</sub> ClNO	197.67	8.31	5.42	5.57
<b>5d</b>	62-63 <sup>a</sup>	80	C <sub>10</sub> H <sub>13</sub> NO	163.22	8.35	5.46	5.58
<b>5e</b>	85-86 <sup>a</sup>	78	C <sub>11</sub> H <sub>15</sub> NO	177.25	8.32	5.43	5.54
<b>5f</b>	73.5-74 <sup>a</sup>	73	C <sub>11</sub> H <sub>15</sub> NO <sub>2</sub>	193.25	8.29	5.41	5.52
<b>5g</b>	106-108 <sup>a</sup>	77	C <sub>12</sub> H <sub>18</sub> N <sub>2</sub> O	206.29	8.16	5.19	5.39
<b>6a</b>	82-83 <sup>a</sup>	70	C <sub>11</sub> H <sub>14</sub> N <sub>2</sub> O <sub>3</sub>	222.25	8.40	5.53	5.67
<b>6b</b>	oil	~100	C <sub>11</sub> H <sub>14</sub> BrNO	256.14	8.25	5.41	5.52
<b>6c</b>	oil	~100	C <sub>11</sub> H <sub>14</sub> ClNO	211.69	8.26	5.41	5.50
<b>6d</b>	oil	~100	C <sub>11</sub> H <sub>15</sub> NO	177.25	8.30	5.44	5.50
<b>6e</b>	oil	~100	C <sub>12</sub> H <sub>17</sub> NO	191.28	8.27	5.41	5.46
<b>6f</b>	oil	~100	C <sub>12</sub> H <sub>17</sub> NO <sub>2</sub>	207.27	8.22	5.39	5.44
<b>6g</b>	65-67 <sup>a</sup>	71	C <sub>13</sub> H <sub>20</sub> N <sub>2</sub> O	220.32	8.19	5.37	5.40
<b>7a</b>	oil	98	C <sub>12</sub> H <sub>16</sub> N <sub>2</sub> O <sub>3</sub>	236.27	8.36	5.53	5.65
<b>7b</b>	oil	83	C <sub>12</sub> H <sub>16</sub> BrNO	270.17	8.22	5.41	5.49
<b>7c</b>	oil	99	C <sub>12</sub> H <sub>16</sub> ClNO	225.72	8.23	5.41	5.48
<b>7d</b>	oil	75	C <sub>12</sub> H <sub>17</sub> NO	191.28	8.29	5.45	5.48
<b>7e</b>	oil	91	C <sub>13</sub> H <sub>19</sub> NO	205.30	8.23	5.41	5.43
<b>7f</b>	oil	76	C <sub>13</sub> H <sub>19</sub> NO <sub>2</sub>	221.30	8.21	5.39	5.41
<b>7g</b>	71-73 <sup>b</sup>	65	C <sub>14</sub> H <sub>22</sub> N <sub>2</sub> O	234.34	8.14	5.38	5.37
<b>8a</b>	oil	~100	C <sub>13</sub> H <sub>18</sub> N <sub>2</sub> O <sub>3</sub>	250.30	8.34	5.49	5.59
<b>8b</b>	oil	~100	C <sub>13</sub> H <sub>18</sub> BrNO	284.20	8.20	5.37	5.40
<b>8c</b>	68-71 <sup>a</sup>	88	C <sub>13</sub> H <sub>18</sub> ClNO	239.75	8.23	5.37	5.40
<b>8d</b>	oil	82	C <sub>13</sub> H <sub>19</sub> NO	205.30	8.28	5.40	5.38
<b>8e</b>	75-77 <sup>b</sup>	61	C <sub>14</sub> H <sub>21</sub> NO	219.33	8.24	5.37	5.34
<b>8f</b>	oil	~100	C <sub>14</sub> H <sub>21</sub> NO <sub>2</sub>	235.33	8.21	5.36	5.32
<b>8g</b>	54-56 <sup>a</sup>	79	C <sub>15</sub> H <sub>24</sub> N <sub>2</sub> O	248.37	8.13	5.33	5.27

<sup>a</sup>Recrystallized from diisopropyl ether. <sup>b</sup>Recrystallized from *n*-hexane.

**General Procedure for the Preparation of 4-Alkyl-2-aryl-substituted oxazolidines (5–8) and tetrahydro-1,3-oxazines (17–20).** To a solution of the corresponding amino alcohol (**1–4** or **13–16**) (3 mmol) in absolute MeOH (20 mL), an equivalent amount of aromatic aldehyde was added (for liquid aldehydes, a freshly distilled sample was used), and the mixture was allowed to stand at ambient temperature for 1 h. The solvent was then evaporated off. The oily products were dried in a vacuum desiccator for 24 h. The nmr spectra proved that the purities of these compounds were greater than 95%. Crystalline products were collected by filtration and recrystallized. All of the recrystallized new compounds (**5a–8g**, **17a–20g**) gave satisfactory data on elemental analysis (C, H, N ±0.3%).

The physical data and the chemical shifts of the characteristic N-CH-O and N=CHAr protons for compounds **5–8** and **17–20** are listed in Tables 6 and 7.

With regard to the similarities in the <sup>1</sup>H nmr data for the members **a–g** of each set of oxazolidines (**5–8**) and tetrahydro-1,3-oxazines (**17–20**), the full spectra of the *major* tautomers are described only for eight representatives of these sets of compounds (**5a**, **6a**, **7a**, **8a**, **17a**, **18a**, **19a**, **20a**). The protons of the open form (A) are numbered according to the corresponding protons of the cyclic oxazolidine or tetrahydro-1,3-oxazine forms

(B or C). In consequence of the small relative concentrations, only the characteristic O-CHAr-N and N=CHAr protons are listed for the detectable *minor* tautomeric forms (see Tables 6 and 7).

**5aA:** <sup>1</sup>H nmr: δ 1.26 (d, 3H, CH<sub>3</sub>, J = 6.6 Hz), 1.80 (bs, 1H, OH), 3.50-3.66 (m, 1H, NCH), 3.73 (d, 2H, OCH<sub>2</sub>, J = 2.5 Hz), 7.92 (d, 2H, C<sub>6</sub>H<sub>4</sub>, J = 8.6 Hz), 8.27 (d, 2H, C<sub>6</sub>H<sub>4</sub>, J = 8.6 Hz), 8.44 ppm (s, 1H, N=CHAr). <sup>13</sup>C nmr: δ 18.4 (CH<sub>3</sub>), 67.6 (C-5), 68.0 (C-4), 124.3, 129.3, 141.9, 149.5 (C<sub>6</sub>H<sub>4</sub>), 159.3 ppm (C-2).

**6aA:** <sup>1</sup>H nmr: δ 0.88 (t, 3H, CH<sub>3</sub>, J = 7.6 Hz), 1.46-1.75 (m, 2H, CH<sub>2</sub>CH<sub>3</sub>), 1.78 (bs, 1H, OH), 3.36-3.46 (m, 1H, NCH), 3.78 (d, 2H, OCH<sub>2</sub>, J = 5.5 Hz), 7.92 (d, 2H, C<sub>6</sub>H<sub>4</sub>, J = 8.8 Hz), 8.27 (d, 2H, C<sub>6</sub>H<sub>4</sub>, J = 8.8 Hz), 8.40 ppm (s, 1H, N=CHAr). <sup>13</sup>C nmr: δ 11.0 (CH<sub>3</sub>), 25.3 (CH<sub>2</sub>CH<sub>3</sub>), 66.31 (C-5), 75.0 (C-4), 124.3, 129.3, 141.7, 149.4 (C<sub>6</sub>H<sub>4</sub>), 159.7 ppm (C-2).

**7aA:** <sup>1</sup>H nmr: δ 0.93 (d, 3H, CH<sub>3</sub>, J = 4.5 Hz), 0.96 (d, J = 4.5 Hz, 3H, CH<sub>3</sub>), 1.92-2.04 (m, 1H, CH(CH<sub>3</sub>)<sub>2</sub>), 2.08 (bs, 1H, OH), 3.07 (q, 1H, NCH, J = 5.5 Hz), 3.84 (d, 2H, OCH<sub>2</sub>, J = 5.5 Hz), 7.92 (d, 2H, C<sub>6</sub>H<sub>4</sub>, J = 8.7 Hz), 8.24 (d, 2H, C<sub>6</sub>H<sub>4</sub>, J = 8.7 Hz), 8.36 ppm (s, 1H, N=CHAr). <sup>13</sup>C nmr: δ 19.5, (CH<sub>3</sub>), 20.8 (CH<sub>3</sub>), 30.4 (CH(CH<sub>3</sub>)<sub>2</sub>), 64.7 (C-5), 79.5 (C-4), 124.2, 129.3, 141.9, 149.4 (C<sub>6</sub>H<sub>4</sub>), 159.7 ppm (C-2).

**8aB:** <sup>1</sup>H nmr: δ 0.97 (s, 9H, C(CH<sub>3</sub>)<sub>3</sub>), 1.58 (bs, 1H, NH), 3.65 (t, J = 7.6 Hz, 1H, NCH), 3.90-3.97 (m, 2H, OCH<sub>2</sub>), 5.49 (s,

**Table 7**  
Physical and nmr spectroscopic data on and 4-alkyl-2-aryl-3,4,5,6-tetrahydro-2H-1,3-oxazines (**17–20**).

Compd.	Mp (°C)	Yield (%)	Formula	M.W.	δ (ppm)		
					N=CH (s) (A)	N-CH-O (s) (B)	N-CH-O (s) (C)
<b>17a</b>	oil	~100	C <sub>11</sub> H <sub>14</sub> N <sub>2</sub> O <sub>3</sub>	222.25	8.38	5.21	5.58
<b>17b</b>	oil	~100	C <sub>11</sub> H <sub>14</sub> BrNO	256.14	8.02	5.11	5.49
<b>17c</b>	oil	~100	C <sub>11</sub> H <sub>14</sub> ClNO	211.69	8.24	5.12	5.50
<b>17d</b>	oil	~100	C <sub>11</sub> H <sub>15</sub> NO	177.25	8.28	5.15	5.54
<b>17e</b>	oil	~100	C <sub>12</sub> H <sub>17</sub> NO	191.28	8.23	5.12	5.51
<b>17f</b>	oil	~100	C <sub>12</sub> H <sub>17</sub> NO <sub>2</sub>	207.27	8.28	5.11	5.49
<b>17g</b>	oil	~100	C <sub>13</sub> H <sub>20</sub> N <sub>2</sub> O	220.32	8.20	5.08	5.49
<b>18a</b>	oil	~100	C <sub>12</sub> H <sub>16</sub> N <sub>2</sub> O <sub>3</sub>	236.27	8.33	5.20	5.58
<b>18b</b>	oil	~100	C <sub>12</sub> H <sub>16</sub> BrNO	270.17	8.17	5.10	5.49
<b>18c</b>	oil	~100	C <sub>12</sub> H <sub>16</sub> ClNO	225.72	8.19	5.11	5.50
<b>18d</b>	oil	~100	C <sub>12</sub> H <sub>17</sub> NO	191.28	8.23	5.15	5.53
<b>18e</b>	oil	~100	C <sub>13</sub> H <sub>19</sub> NO	205.30	8.18	5.11	5.50
<b>18f</b>	oil	~100	C <sub>13</sub> H <sub>19</sub> NO <sub>2</sub>	221.30	8.15	5.10	5.49
<b>18g</b>	78-79 <sup>b</sup>	75	C <sub>14</sub> H <sub>22</sub> N <sub>2</sub> O	234.34	8.04	5.07	5.49
<b>19a</b>	63-65 <sup>a</sup>	72	C <sub>13</sub> H <sub>18</sub> N <sub>2</sub> O <sub>3</sub>	250.30	8.30	5.19	5.64
<b>19b</b>	oil	~100	C <sub>13</sub> H <sub>18</sub> BrNO	284.20	8.14	5.09	5.56
<b>19c</b>	oil	~100	C <sub>13</sub> H <sub>18</sub> ClNO	239.75	8.17	5.10	5.57
<b>19d</b>	oil	~100	C <sub>13</sub> H <sub>19</sub> NO	205.30	8.21	5.14	5.61
<b>19e</b>	oil	~100	C <sub>14</sub> H <sub>21</sub> NO	219.33	8.16	5.10	5.58
<b>19f</b>	oil	~100	C <sub>14</sub> H <sub>21</sub> NO <sub>2</sub>	235.33	8.12	5.09	5.57
<b>19g</b>	60-61 <sup>b</sup>	61	C <sub>15</sub> H <sub>24</sub> N <sub>2</sub> O	248.37	8.04	5.06	5.58
<b>20a</b>	75-76.5 <sup>a</sup>	77	C <sub>14</sub> H <sub>20</sub> N <sub>2</sub> O <sub>3</sub>	264.33	8.29	5.19	5.74
<b>20b</b>	oil	~100	C <sub>14</sub> H <sub>20</sub> BrNO	298.23	8.14	5.09	5.67
<b>20c</b>	oil	~100	C <sub>14</sub> H <sub>20</sub> ClNO	253.77	8.17	5.09	5.68
<b>20d</b>	oil	~100	C <sub>14</sub> H <sub>21</sub> NO	219.33	8.21	5.13	5.73
<b>20e</b>	oil	~100	C <sub>15</sub> H <sub>23</sub> NO	233.36	8.16	5.10	5.71
<b>20f</b>	oil	~100	C <sub>15</sub> H <sub>23</sub> NO <sub>2</sub>	249.36	8.13	5.08	5.70
<b>20g</b>	83-84.5 <sup>b</sup>	73	C <sub>16</sub> H <sub>26</sub> N <sub>2</sub> O	262.40	8.08	5.06	5.70

<sup>a</sup>Recrystallized from diisopropyl ether. <sup>b</sup>Recrystallized from *n*-hexane.

1H, NCHO), 7.69 (d, 2H, C<sub>6</sub>H<sub>4</sub>, J = 8.7 Hz), 8.23 ppm (d, 2H, C<sub>6</sub>H<sub>4</sub>, J = 8.8 Hz). <sup>13</sup>C nmr: δ 26.8 (C(CH<sub>3</sub>)<sub>3</sub>), 34.0 (C(CH<sub>3</sub>)<sub>3</sub>), 67.7 (C-5), 69.1 (C-4), 92.1 (C-2), 123.8, 127.6, 148.1, 148.3 ppm (C<sub>6</sub>H<sub>4</sub>).

**17aB:** <sup>1</sup>H nmr: δ 1.17 (d, 3H, CH<sub>3</sub>, J = 6.6 Hz), 1.61 (ddd, J = 3.0, 4.45, 14.1 Hz, 1H, H-5<sub>eq</sub>), 1.44 (ddd, 1H, H-5<sub>ax</sub>, J = 4.5, 12.1, 23.7 Hz), 1.32 (bs, 1H, NH), 3.91 (dt, 1H, H-6<sub>ax</sub>, J = 2.5, 12.1 Hz), 3.07-3.17 (m, 1H, NCH), 4.29 (ddd, 1H, H-6<sub>eq</sub>, J = 1.0, 4.5, 11.6 Hz), 5.21 (s, 1H, NCHO), 7.70 (d, 2H, C<sub>6</sub>H<sub>4</sub>, J = 8.7 Hz), 8.19 (d, 2H, C<sub>6</sub>H<sub>4</sub>, J = 8.8 Hz), ppm. <sup>13</sup>C nmr: δ 22.6 (CH<sub>3</sub>), 35.4 (C-5), 51.2 (C-4), 68.3 (C-6), 88.2 (C-2), 124.1, 128.1, 132.9, 148.3 ppm (C<sub>6</sub>H<sub>4</sub>).

**18aB:** <sup>1</sup>H nmr: δ 0.99 (t, 3H, CH<sub>2</sub>CH<sub>3</sub>, J = 7.6 Hz), 1.28 (bs, 1H, NH), 1.35-1.47 (m, 2H, CH<sub>2</sub>CH<sub>3</sub>), 1.35-1.58 (m, 1H, H-5<sub>ax</sub>), 1.62 (ddd, 1H, H-5<sub>eq</sub>, J = 2.5, 4.0, 13.1 Hz), 2.86-2.95 (m, 1H, NCH), 3.91 (dt, 1H, H-6<sub>ax</sub>, J = 2.0, 12.1 Hz), 4.31 (ddd, 1H, H-6<sub>eq</sub>, J = 1.5, 4.5, 11.6 Hz), 5.20 (s, 1H, NCHO), 7.70 (d, 2H, C<sub>6</sub>H<sub>4</sub>, J = 8.7 Hz), 8.18 ppm (d, 2H, C<sub>6</sub>H<sub>4</sub>, J = 8.9 Hz) ppm. <sup>13</sup>C nmr: δ 10.4 (CH<sub>3</sub>), 30.1 (CH<sub>2</sub>CH<sub>3</sub>), 32.9 (C-5), 56.7 (C-4), 68.0 (C-6), 87.9 (C-2), 123.7, 127.5, 141.0, 148.1 ppm (C<sub>6</sub>H<sub>4</sub>).

**9aB:** <sup>1</sup>H nmr: δ 0.95 (d, 3H, CH<sub>3</sub>, J = 6.8 Hz), 1.01 (d, 3H, CH<sub>3</sub>, J = 6.8 Hz), 1.22 (bs, 1H, NH), 1.47 (ddd, 1H, H-5<sub>ax</sub>, J = 4.8, 11.8,

24.2 Hz), 1.59 (ddd, 1H, H-5<sub>eq</sub>, J = 2.3, 4.3, 13.6 Hz), 1.89-2.01 (m, 1H, CH(CH<sub>3</sub>)<sub>2</sub>), 2.73 (ddd, 1H, NCH, J = 3.0, 6.3, 10.8 Hz), 3.90 (dt, 1H, H-6<sub>ax</sub>, J = 2.3, 11.8 Hz), 4.34 (ddd, H-6<sub>eq</sub>, J = 1.5, 4.8, 11.3 Hz), 5.19 (s, 1H, NCHO), 7.71 (d, 2H, C<sub>6</sub>H<sub>4</sub>, J = 8.7 Hz), 8.19 ppm (d, 2H, C<sub>6</sub>H<sub>4</sub>, J = 8.9 Hz). <sup>13</sup>C nmr: δ 18.9 (CH<sub>3</sub>), 19.3 (CH<sub>3</sub>), 30.1 (C-5), 33.7 (CH(CH<sub>3</sub>)<sub>2</sub>), 60.9 (C-4), 68.1 (C-6), 88.0 (C-2), 123.7, 127.5, 141.3, 148.2 ppm (C<sub>6</sub>H<sub>4</sub>).

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